Approved for use through 07/31/2003. OMB 0651-0032 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 03-551 Attorney Docket No. UTILITY Giorgio Bertero PATENT APPLICATION First Inventor TRANSMITTAL TRANSMISSION CONTROL FOR.... Title EV 332168408 US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No Mail Stop Patent Application APPLICATION ELEMENTS ADDRESS TO: Commissioner for Patents P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. X Specification [Total Pages\_ Computer Readable Form (CRF) (preferred arrangement set forth below) Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table. or a computer program listing appendix Background of the Invention Paper - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Statements verifying identity of above copies - Detailed Description ACCOMPANYING APPLICATION PARTS - Claim(s) - Abstract of the Disclosure Assignment Papers (cover sheet & document(s)) 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets \_ 37 CFR 3.73(b) Statement Power of 10. **[** (when there is an assignee) Attorney 5. Oath or Declaration [Total Sheets 11. English Translation Document (if applicable) a. X Newly executed (original or copy) Information Disclosure Copies of IDS 12. Statement (IDS)/PTO-1449 Citations b. Copy from a prior application (37 CFR 1.63(d)) 13. L Preliminary Amendment (for continuation/divisional with Box 18 completed) 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** 15. \_\_\_ Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. Other: ..... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) Continuation Divisional of prior application No.: ..... Examiner Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 34704 Customer Number: OR Correspondence address below Name Address City State Zip Code

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Janu

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Fax

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28,395

Date

Rachel Piscitelli

Country

Sianature

Name (Print/Type)

Gregory

10/60/60 10/60/60 10/60/60

Signature

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known FEE TRANSMITTAL Application Number \_5 Filing Date for FY 2003 Giorgio Bertero First Named Inventor Effective 01/01/2003 Patent fees are subject to annual revision Examiner Name Applicant claims small entity status. See 37 CFR 1 27 Art Unit TOTAL AMOUNT OF PAYMENT 03-551 Attorney Docket No METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES X Check Credit card Other None Large Entity 4 Small Entity Doposit Aucon Fee Fee Description Deposit Code (S) Code (\$) <u>Lee Paid</u> Account Number 02-0184 1051 130 2051 65 Surcharge - late filing times in any Deposit 2052 25 Surcharge - late provisional blanchers : 1052 50 Bachman & LaPointe, P.C. Account cover sheet 1053 130 Non-English specification 1053 130 The Commissioner is authorized to: (check all that apply) 1812 2,520. For filing a request for ex parte reexamination 1812 2,520 Credit any overpayments Charge fee(s) indicated below 1804 920 1804 920\* Requesting publication of SIR prior to  $\overline{\mathsf{XX}}$  Charge any additional fee(s) during the pendency of this application Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1.840 1805 1.840 Examiner action to the above-identified deposit account Extension for reply within first month 1251 110 2251 55 **FEE CALCULATION** 2252 205 Extension for reply within second month 1252 410 1. BASIC FILING FEE 1253 930 2253 465 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Fee Code (\$) Fee Fee Code (\$) Fee Description 2254 725 Extension for reply within fourth month 1254 1,450 1,970 2255 985. Extension for reply within fifth month 1255 2001 375 Utility filing fee 1001 750 750 2401 1401 320 160 Notice of Appeal 1002 330 2002 165 Design filing fee 1003 520 2003 260 Plant filing fee 1402 320 2402 160. Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1004 750 2004 375 Reissue filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding Provisional filing fee 1005 160 2005 80 1452 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 750.00 2453 1453 1.300 650 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,300 2501 650 Utility issue fee (or reissue) Ext<u>ra Claim</u>s Fee Paid 1502 470 2502 235 Design issue fee below Total Claims X -20. 2503 315 Plant issue fee 1503 630 Independent Х - 3\*\* 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity | Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description Fee Fee Code (\$) 40 Recording each patent assignment per Code (\$) 8021 40 8021 property (times number of properties) Claims in excess of 20 1202 18 2202 9 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) 1204 2204 42 Reissue independent claims over original patent 2801 375 Request for Continued Examination (RCE) 1801 750 Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination 1205 18 2205 and over original paten of a design application Other fee (specify) SUBTOTAL (2) (\$) 0.00(\$) 0.00\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) or number previously paid, if greater; For Reissues, see above (Complete (il applicable) SUBMITTED BY Registration No. Telephone 203-777-6628 Gregory P. LaPointe 28,395 Name (Print/Type) (Attornev/Agent)

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